

# Interim Sexual Misconduct Protocol for Students

6FRSH :KR LV &RYHUNG E\ WKL V 3RO

8QGHUJUDGX DVG XDDGH 6WXGHQWV

3ROLF\

,QWURGXFWRQ

OLDPL 8QLYHUVLW\ PDIFRWB LWMQHGDWKRBDH DW Q L D Q GOV D LIQ J D  
ZRUNLQJ HQYLURQH QFDHQLVQ DQG WRYEWRQV HUQW SRQD WESLOR FRWR  
GLJQLW\ DQG UHVS MHFK DQ DQW W Q MW HESW HUVRQDO FRQG

7KLV 3URWRFRD DGGUFRQD B XFWH [FXDPS DGHQ WWK D UL L Q J XQ  
'HSDUWPHQW RI (GXFDWLRQ DWL R Q W L R W O H &DQ G FRDPS D L Q W V  
DULVLQJ XQGHU Q D P L R G H L R H G W L X G H Q F W & R Q G X B W I H F U J O G H W  
6H[XDO 0LVFRQGXFW [X D B O D W E R Q G X F W W K D W E U Q D G X G H V V H  
KDUDVVPHQW VH[XDO JYLIRROH Q F H H G D W D Q D Q = Q J D Q E P V H [ X p E H  
8QLYHUVLW\ LV G H H G M E

x (GXFDWLRQ D Q G S U R Y H D P P L Q J W R L Q I R B R W W W K H F R P R X Q  
DQG P\WKV W K D W F R D D W R U L L E F R A C H G W R W V D Y G H H O U J D M Q E L Q J W D

x \$VVLVWDQFH DQG V X Q S Q R G W V F L Q S F O L X G E L H D W X L S H R U D W I G Y H P  
DFFRPPRGDWLRQV

x 3URFHGXUHV VWXGHQW D V K R X D G P R O B R Q G M F W L Q F L O P X G L  
FULPHV RI GRPHVWLQ J Y L R R O H Q F H H G D W D Q D Q = Q J D Q E P V H [ X p E H

x 3URFHVVHV IRU D Q W E S D W W R Q O D L G W X G X F W D R Q R V K D Q G L Q F H  
0LVFRQGXFW YLROD  
VXVSHQVLRQ DQG C

x :KHQ D 6H[XDO 0LVFRQG XFW YLFFXDW WVKHO8V DYNHU VLW\ Z  
DSSURSULDWH VVHSH W VHY HUHVISRPSO D RQDSQDR SUHFDW MHV  
VXSSRUW 5HVSRRQG HQRW DUHV SB E V X E BUDHQG RQUHLEW H  
RQO\ DIWHU WKH\ DEH GHWSRUPVLEHGHVURQORZQQYHU KHV  
RIILFLDOV VHUYKRLXPSDELVDVDIRO\ ZUWD JDLQVW DQ\ SDU

0LDP L V 3URWRFR OF R P S GH VZLWV B EDSRQ WIDV H UDDO ODZV 0LDP  
8QLYHUVLW\ UHVHUYHV WKH ULJKW RWRP RGLZKIRQ GLQYWKWH  
MXGJPHQW RI WKH 8 QLDYHFUHL W D UFUDUWRPVE FRW GVKUH W RJSWV  
LQYROYHG SDUWLHV W KHWRDER FSKOV ZBLMQRWRHQGH G VWR DQG Z  
EH HQIRUFHG VR XSRQR) LIUQW LSPHQ G P X G VQU L W K W VU LLQ FV W F  
DFDGHPLF IUHHGRP

7KLV 3URWRFRO GH8VILYHEUWLWR ZVWSLH DUOSRWVHWS RQ 6M [ WD O  
0LVFRQG XFW LQYROYLQJ VWXGHQWV ,W DOVR

x 3URYLGHV JXLGDQFKRIRKDYHV XGHQWLP SZ FWMIGREIGXHF [X DO

x 2XWOLQHV WKH 8QWYBUVEMSQV QVUX GHHG SIRQVHO WR DOO  
0LVFRQG XFW

x ,GHQWLILHV LQGLDYLB XODD/OWKWRV SURYLGH VXSSRUW

x ,GHQWLILHV WKH SRGLYE GNDIQU WKH 3URWRFRO

x 3URYLGHV LQIRUPDHWL RQSRQ W YSULFDUHQ YZUWIKWQ WKH 8

:KHQ WKH FRPSODLQSRQGDHQGVGRHQURHW WIDDUKH W KHWKHPH V  
8QLYHUVLW\ H JD R V X S D O W \ D L Q G R X O H S P U W E H L U D W I K F I R O C  
JXLGHOLQHV DSSO\

x \$Q\ UHSRUW RI DQ DDOFRJQHGXFM [XDLROO W LZLOE \ I BOV RZG  
3URWRFRO IRU 6WXGHQWV

x \$Q\ UHSRUW RI DQ DDOFRJQHGXFM [XDLROO BWHLR Q LE QRQ HPS  
VWXGHQW ZLOO IRORVZR FRKH IRLUW QPSQR\HHV

x \$W WKH WLPH RI 6HQXQO D LMLVRQHG X;FDLQRU PD G RFRSPSODLQ  
PXVW EH SDUWLFLS B PSLQ UQLQ VRRU SDDXFDLVF L SQ VSHU RQU WPKH  
DFWLYLW\ RI 0LDP W B L W H P H I R W \ I L S M G H D X D B Q O Z V F R Q H G X F  
IRUPDO FRPSODLQ W X W K H R H H Q L W I R R W H F R S F S O N D L L F L S O W W L V R I  
D W W H P S W L Q J W R I S G X M L W L S D W E S Q R D W D O F L B R L D F W L Y L W  
8QLYHUVLW\

, 'HILQLWLRQV

\$ &RPSODLQDQW

\$Q\ SHUVRQ ZKR LV HHS[SHUWHGQWRGKD YXHF[X DYQ FQD VWRQG

% &RQVHQW

&RQVHQW LV ZKHQ D SHUVRQ SHUPLHW BRQVRR DVRRMQJHW H L  
FHUWDLQ VH[XDO DFWV

x &RQVHQW LV D NQRZUQJHDEG YRQXQWUEHDVZHDJQH  
ERWK SDUWLHV WFDSDUWQGFHSBWH VLQ[XDO DFW

x

x & RQVHQW FDQ QHYH VHEH LDV WXPHEGR QWHK[WS R\$ IS HUHORDQW L  
KDV WKH ULJKW WR WIDH 3URJK WQGRK EDV DQJ B QV KWLLPH PLQ

x \$ SHUVRQ FDQQRW GHQJDOOR JLDVWFRUQ VDD WZ WKHQ \ PLJKV

- o 7KH SHUVRQ LV V\$ BVWHDG WGXDHOR LPQFFDKSDG LRVU D\WX  
RU XQFRQVFLRXV

- o 7KH SHUVRQ IV DEFRQVHWV UMLXVWVFDQ VHE DDXVHL P  
RID SK\VLFDORU PHQWDO FRQGLWLRQ

- o 7KH SHUVRQ ZDV FRHFHFVKG GMBWRIIRUQFRURZKIGQFH  
WKH SHUVRQ ZDKEHHDWHHQHG LVRODWHG RU LQWLP

### & (PHUJHQF\ 5HPRYDO

7KH UHPRYDO RID UMKSRQGLYHWVUWRJRJHGRFDRMLDFDQYSLW  
DQ HPHUJHQF\ EDVRLQHLGLW KLDWGWVWHU WDMGRQ BHHQLD VRIVMKU  
WKH SK\VLFDOR KHDORW DQGRXGMDWHGRUDRWKHU LQGLY

### ' )RUPDO &RPSODLQW

\$IRUPDO GRIFHQF\ DFRPSODHDDOWPDODRQGXJVRDQJHLOWW DGUH  
UHTXHVWLQJ WKDQYMKM BDLWHUWKM\DDO HLD FRLRQXFM6HRUPDO &RPS  
PD\ DOVR EH ILOHGRRUDEZQVVRU; :KROGLQDWHU ,VL&RVDIRUPDOFR

x 211LFH RI (TXLW\ DQ~~Q~~(LTXDQ~~L~~2~~S~~SW~~R~~W DQG \$VVRFLDWH '

0 5HWDOLDWLRQ

\$Q\ DFW RI LQWLP IRGD VGLRQ URLP IFRD WGRQ VRDNW QUIRDWWKH SX  
RI LQWHUIHULQX QIGMK DVKLVL3JKRW KER Q RFO7KQWHD,Q\ DGYH  
DFWLRQ WKDW LV SHUNHRO EKDFD R D B HD DOUHL SRW WWRU VFRIBS G  
DVVLVWHG SDUWH BLV BWSHGJ RUFU SDXQH DQ\ DQYFDQ QHDWLRQ  
KHDULQJ RU RWKHU SUKLFVH B HGR Q R FRDG FRPIS ODDQW XQGHU W  
&RGH RI 6WXGHQW & RQRGX E QYR QDYW GRHXOWPDU ERQ G XFXW R  
VDPH IDFWV DQG FLWFKHP WM DXDFH P LDF RQRGXFOD UQWRP W R U  
FRQVWLWXWH UHVDHGL DRUL RQHL SHULSRVZL WKKLDRWHSU LYLOHJ  
VHFXUHGE\ 7LWOH ,; RU WKL V 3URWRFRO

1 7LWOH ,; &RRUGLQDWRU

7KH GHVLJQDWHG DOLZKR VLLWS URLPIDFULLRU ERIRSB QQDEWQJ W  
8QLYHUVLW\ V FR WSOCH D; Q B GZ IRWKK H LP IDVFORHQGHXG WH[XDO

2 'HSXW\ 7LWOH ,; &RRUGLQDWRU

7KH GHVLJQDWHG DOLZKR VLLWS URLPIDFULLRU UHVSRRQLRQHW R U  
RI VH[XDO PLVFRQGXFV

,, 6H[XDO 0LVFRQG XFWW3UHR K;L B QVGH8Q LYH

\$ 7LWOH ,; 6H[XDO 0LVFRQGXFV 9LRQDWLRQV

7R FRQVWLWXWH D 7LWOH ,; VH[XDOOQLXFFR Q X FFWK DYRHO DWL  
RFFXUUHG ZLWKLQ WUKHL WRRJURDISWIKFD QQWWHG 6WDWHV



VXEVWDQWLD O HPR RUL R U H D M B G L D R V O H R G L Q U L G M D W K ) R U W K H  
SXUSRVH RI WKLV GHILQLWLRQ

&RXUVH RI FRQGXFWR UH D D Q F W W Z R Q F U O R G F L Q W H G X W R Q B F W W  
ZKLFK WKH VWDON H B W G L U R I F W W K U R X E K L D V K L D J G W S L D Q W L H V  
PHWKR G GHYLFH RU PHDQV IROORZVW R B E D W R I Q W R U E V H  
FRPPXQLFDWHV WR R U R D E I R Q W W H D I S H U W W R S U V R E H U S H U V R Q

6XEVWDQWLD O M F R P W I L D R Q D O L G Q V W U F H U L Q J P R I Q V D Q X L X I K V  
P D \ E X W G R H V Q R W T X H E H V P H D G I L I O O U R B Q R D V K W W H S D W R P H V Q  
FRXQVHOLQJ

35HDVRQDEOH SHUHVVRQ R Q F H D Q V S H U V R Q L X E X G F M W W D I Q P F L O V U  
D Q G Z L W K V L P H O D W R L G K E W I L W W L P

, , , 6H[XDO 0LVFRQ G X F E W 8QRYKH E V L W \ 3 R O

\$ 1RQ 7LWOH , ; 6H[XDO 0LVFRQGXFV

1RQ 7LWOH , ; VH[XDO N L K F R Y Q H G X F F X Q U H G

- o :LWKLQ WKH JHRJUDIS W K F H D Q Q W W H G L G W R D W R R V R I \$ P H U L
- o :KLOH WKH FRPSOD L V Q V Z D Q S D U D W L W H S S D W H Q L J Q W R Q S D U W  
HGXFDFWLRQDO SURJUDKP H B Q I D Y F W U L V L L M W W R G Q X G I Y Q H Q W R F  
FLUFXPVWDQFHV L Q V L W L F K I W K F L S Q H G Y M W J R V O B Q H M L E Q W R  
UHVSRRGHQW D Q G W K K I W R Q V P H I W F R Q G Z K L W F R F X G H W H D Q I D C  
EXLOGGLQJ R Z Q H G R U F R R J Q L R E G H G V E B Q W H R U J D Q W J H W Q  
KRXXVH

% 6H[XDO +DUDVPHQW

8QZHOFRPH FRQGXFWR I R Q W K I Q F O X G L V Q J L G I Q G W U W J H R Q G H U  
H[SUHVVLRQ RU V R Q X W K D R W L L H V Q W D I R U F I S H Q W D V L V H Y W R H D G Y H



7DNLQJ QRQ FRQVH QVEXDQYKQNHXXDORDGWBQWBSJHURRQQ  
([DPSOHV LQFOXGH YRQHXHQLVRDQRQHFRDQFWLQYLRLVHRXDD E H  
WKH ERXQGV RI FRQQH QWRW KHUDW Q RZBLWFKSRWLKHLRZLWCH  
FRQVHQVXDO VH[XDO DFWLYLW\

( , QGHFHQW ([SRVXUH

7KH H[SRVXUH RI VQWLSDWYHDSHU R/V QH ZVGR FIDQRGHULQQDSXE O I  
SULYDWH ZKHQ WKH EHVSIRDGHLQWR PVHUYHG

) 'DWLQJ 9LROHQ FHLR QHPQF/W DQG 6WDONLQJ

\$V GHILQH LQ ,, ' )

,9 5HSRUWLQJ 9LRODWLRQV

5HSRUWV RI VH[XDO BLF FRSQRXPSW QK R XDSHUG SZU WDKWM K7L W O  
&RRUGLQDWRU 5HSR D W F D Q X D W D R U E O I V E \ D R Q P X Q L L W H U

5HSRUWV ,QYROYLQJUR6WXGHQWV RQ 5 & DPSX

0V -D\PHH /HZLV -OWGHSXW\ 7,; &RRUGLQDWRU

3KRQH

(PDL7LWOH,;#PLDPLRK HGX

2QOLQWWSV PLDPLRKV6WXGHQWV RQ 5 DVVDXOW

0DLO ( 6SULQJ 6WXGHQWV O:DUI12[IRUG 2+

5HSRUWV ,QYROYLQJ 6WXGHQWV RQ 5

9, 6XSSRUWLYH 0HDVXUHV

0LDPL 8QLYHUVLW\ ESHUR RI GUHW B XOXPH V PHOG/ XUXISS R R W LVYHX G F  
6WXGHQWV DUH HQF X X S B W H G D W Q G V R E H W D M G L F S S U R S U L D W H  
DWWHQWLRQLS W S R I Q D U H H S R U W M 7 L W H O L H Q D W & R U R S G R Y L G H V Z U L  
Q R W L I L F D W L R Q W R W W L X G H F R X V Q D H E R X W D B K I H D D W K K P D I Q Y R F D F  
V H U Y L F H V O H J D O D Q G L V P D L Q B B W Y R Q D H V Q W W L D Q D H F L D W D L G  
R W K H U V H U Y L F H V D Y L D L W B E H O H Q E R H M K V F Z X W L D Q G 7 K K H 8 Q R P H U V L  
S U R Y L G H V Z U L W W H R Q V Q R X G I H Q F D W D L R R X W D R L S W E R Q H V D I R V L D W G Q  
D Q G K R Z W R U H T X H V W F K D Q J H V W R D F O G H Z R L L F N L Q L J Y V Q W X W W  
S U R W H F W L R Q P H D V X U H V

7KLV PD\ EH UHTXHV P S E G L E Q D W H W R K H 5 Q V S R Q B U Q R W K B I Z L W  
L P S D F W H G P H P E H U V W R I F R K P X Q L W H U G X L S S R U D M L Y H D Y B I D O X E O H  
U H J D U G O H V V R I Z K H W C B I U Q D W I R W P I D O H E R S P S B P S Q L Y W R H D V X U H V  
L Q F O X G H

\$ F D G H P L F V X S S R U D W F F R I P Y R B H W D R Q G V D E Q D Q W G L V Q R I W K H  
U H V F K H G X O H H [ D P V D Q G F K D V L J H Q E B Q F O D W X W R F U L L Q G X O H F

6KRUW DQG ORQD F W R B P R K D W L R Q V D R Y D G L V D E X G H Q W R V 2 [

2Q FDPSXV FRXQMM O L Q G R H U D M L V L V W D Q F R I E R P P X Q Q W F W  
E D V H G F R X Q V H O L Q J V H U Y L F H V

3URYLGH WUDQVSRUWDWLRQ SDUNLQJ RSWLRQV

\$ V V L V W D Q F H F R Q Q H F W L Q J W R F R P P X Q L W \ E D V H G P H G L

\$ V V L V W D Q F H Z L W S U F R P S O H W L S U R W Q W F W L Q U D H F W W X G H  
L Q I R U P D W L R Q D W 0 L D P L 8 Q L Y H U V L W \

: R U N V F K H G X O H R U M R G E L D L F D W Q R Q H W I R S J O R Q E M B W V L W

0 X W X D O 1 R & R Q W D F W ' L U H F W L Y H

, Q I R U P D W L R Q D E R X D Q D F G Z R W Q D R S H W M R Q D I G W L R Q R U G H U

\$ F R P E L Q D W L R Q R I D Q \ R I W K H V H P H D V X U H V

7KH 'HSXW\ 7LWOH Z L & B R H U G L U G D W H R G Q W E W H L W L W Q D E R G X W H V K D  
L G H Q W L W \ R I W K H V W Q G H R Q W V Z X S S R D W U D R U P H D Z X O G E V E H P D G  
P D L Q W D L Q W K H F R S U L G Y B E W R D O L K M \ F R O P S R D L H Q B Q W K X Q O H T X H  
V X S S R U W L Y H P H D V X U H V O L Q H T X K H H L G H G O D L Q D Q W W K H J F B P S R  
F R Q W D F W G L U H F W L Y H \$ V W X G H Q W W D E H D F F Y H V Q W K M K H W W  
L Q L W L D O O \ G H F O L Q H G W K H V H U Y L F H

7KHUH PD\ EH WLP HVH ZKHQ \WPKHV B/QG YV P O R/LR Q RDEHR X V I RUK H  
VWXGHQW WR D WKR LSG FSYDLGWA V QSSV G M K L W H L Q H R V E D M L R Q Z  
OLPLWHG WR RQO\ Z KD W U L H V V Q K H H G H S S M R S U L Y H L G H H G Y L F K H H Q  
SRVVLEOH WKH 'HS B W Q D W R O H Z L O O R R R Q X G H Q W Z U M J D W G H Q J Z  
DQG ZLWK ZK R P D W K I H R Q Q Z R O O E H V K D K H H G G S I R U P U D W I R R Q K S U L Q  
G H W H U P L Q D W L R Q R I H D V + H P I O W K I O R G X Q G H W D N ( H S S U Z R O O W \ R Y  
V X S S R U W L Y H V H U Y L F H S U L Y D F \ G H W H U P L Q D W L R Q V

)RU VXSSRUWLYH PH D V I X R U H G V F L Q P Y S X O Y D Q G V W X X I G P H R K U J F R Q W D  
0V -D\PHH /HZLV ), Q M Q H S M W \ 7, ; & R R U G L Q D W R U D

x 2KLR¶V 6H[XDO 9LRDVH QFYH L+CHDESCHL QHW 2+,2 +( /3  
7KLV KHOSOLQHDLQ V VFBV HZGLH QHWKIRGWOR QH GYHGLF V  
ZKR H[SHULHQFH VHQ[ XDUH QDWD RQWKDS YLROHQFH

x \$ VWXGHQW PD\ EH IWHGQEL D O7LWLP S Q, DYQLR D D W L R Q Y L F H  
g Y É 77 #\ |RO ÷ ° VHY† ° Y 77K  
2KLR ; vRIHQHÀV 00HJDO V  
YLROHQH @ Q \$ 0` € H € D Q OVH U @ L F  
h´LOG- ãS°LW À pLOR Q` pOG VQVQ À F V W À ( B  
WL) ãVPÀ 00•0p€

x /LFHQVHG PHQWDORIQDDCWIKU SR RILHVPLLI VH G WQJG BIKUY & RIX C  
DIWHU KRXUHV BPHD DHOQEQ QWYKHU V L V P L3 BOLF  
DW RU DQ&UDW KHQRQ FDOO FRXQVHORU

x 0HGLFDO VWDII DWW0L BBD1WK6&MKGMKIU

x 2II FDPSXV ZLWK FBHMJDQERSXQWHLGLDQXODDQKGLGJH0F  
0HPRULDO +RVSLWDO ZKHUH D 6H[XDO \$VDLXCHW 1XUVH  
6\$1( LV DYDLODEOH

\$ FRQILGHQWLDO XGSRUW DGRHSRUFWQWRHODZ BQLYHJVL  
LQYHVWLJDWLRQ W W GZ W CROWKRHW V R U H S ; R U S R W G I Z D O H ,  
&RRUGLQDWRU FR B I V & R V F X Q Z W L 6 W D Q G D U G V

% 1RQ &RQILGHQWLDO 5HSRUWLQJ DQG 5HFRU

2KLR ODZ UHTXLUH O H V D R O H S U R R W I H O R M Z I G I G J R H R I Z L W H K O R Q \ W  
UHSRUW LW WR O D Z P L Q S R U F R P Q C H O W L Q I F Q X & R Q J O W K Q D V L R U  
7LWOH ; ; &RRUGHQDW\$RULV5HDQV D Q G H 2 I I L I F H S R I R \$ H V V G R I Q D  
VWDII DUH UHTXLUH B I G D W I R S Q R L W H I U W L W H S R O M F I R I R V H Q D O  
PLVFRQGXFWRU L Q W F H U S & R Q Q Q D W Y H B B D W B I G L W R U W K W \ 0 3 R C  
WKDW PD\ E H D 7 R Q V D I H O , Q E H R O H S R L U W L H G W W R H S K M \ D S I S W Q S ; ;  
&RRUGLQDWRU \$ U H S R Q L W H W R \ L W K H 3 B I Q D I F Q I R W F R I W K E W O J H Q F  
GRHV QRW UHTXLUH L W K E D S O X F K D U W H R I P S O I D W Q B Q W K I R U F W K H  
UHVSRRGHQW I R V E Q Q J D W L B Q L W R W S K H D O B Z Q H Q I B X W K R U L W L H V  
ZKHQ WKH FRQGXFVKHLW U H S R U V B G Y W B W L W \ Z L O O X X X c P H Q V

FDPSXV RIIHQVH  
)RU GHILQLWLRQV RI FULPLQDO RII

DFWLRQ DJDLQVW VIKIG WU PWHK M V&RGHQ WBUX FWK M K/DW HJ B R Q U L  
WKH 3 SURFHGGQLQ I\$RGUPIDWLLRQDD B B XWH3V LV \$QRVFKH &R  
6WXGHQW &RQGXFV

' 8QLYHUVLW\ 5HFRUGV )(53\$

7KH )DPLO\ (GXFDWLRQDODDFLJ\$FWW D(G\$W\$GRVWFWVHGXFDW  
UHFRUGV LQFOXGLW R UHKS R D MWOPHD, GHSRPU G7LQDWRU, U D  
&RRUGLQDWRU RU W K E L 2 W I L F W DRQ G8DRUG VW Y ( 53\$HS&ORLYHEU V L W V  
UHOHDVLQJ WKHVHQVHR R W G V L V M R W S X M D R Q V Z K M K W X G H Q W V F  
H[FHSW LQ UHVSROQV M E V R HQ D DRZU XD V RWEK\H D Z Z V H RZHTYXLW HL  
VRPH LQVWDQFHV LR XQGH UHWXSRQV D E I O M K I R & R G R ORD V W X G H C  
&RQGXFV 6H[XDO 0LV E S G X R V D D Q G S L R O V H R F U H O R L Q W K O L S F E X V H  
+DUP WKH 8QLYHUM LWKHP DR QURZHQW Q L R I R H U P D W L R Q W R D

1DPH RI WKH VWXGHQW VOIR XE XWUGR R V R W K I H E B P S O D L L V Q D Q  
&RGH RI 6WXGHQW &RQGXFV YLRODWLRQ

6DQFWLRQV LPSRWIRG W K H D G L H V X S O L Q D U \ S U R F H H G L Q J

( 6DIHW\ %XOOHWLQV DQG (PHUJHQF\ 1RWLILFD

7KH 0LDPL 8QLYHUS D W W B R Q M F H O 8 B ' LV U U F R S B G M Q D O H L Q R & O  
\$FW FRPSOLDQFH IR U W O L D, Q L F R Q L O Y D H E R U U D D V L S X Q V Z L Q L K W R W O 8 B ' P  
&OHU\ &RRUGLQDWRU R U L V F R I O S F O W L Q E J D G U R U P I D G M L W Q D F R Q P S L O  
\$Q Q X D O 6 H F X U L W \ 5 H S R U H W 6 D Q G W \$ G H S R O W K H S K E I O L V & D G U \ &  
D Q G ) L U H / R J F R R G I G Q W D W L E D W W R K H B Q G & M P S K Q L G H F R U D W \  
\$ X W K R U L W L H V G L Q Q G X S L G R Y Q F H I R U W K E X O V H X D L Q F V H D R Q G V B I F H W U  
Q R W L I L F D W L R Q

, I D U H S R U W R I V H I Q D G R P M A D X I O F W Y L G R D W H Q J F H Q R U F D W B I V W K H U  
L P P H G L D W H W K U H D W D W H R W W R H \$ H H D O R A Q V R U Q D F W D P S X R Q R J R L Q J  
V H U L R X V R U F R Q W K Q X F L Q P S X K U F R D P W X Q B ( W H H J H Q M V 1 B W L I L F D V  
R U D 6 D I H W \ % X O O H W L Q V D Q G ) L U H / R J F R R G I G Q W D W L E D W W R K H B Q G & M P S K Q L G H F R U D W \  
W R S U R W H F W W K H P V H O Y H V K H L J K W H Q D W D U R Q \ W K Z D W H Z Q B O V O  
D Q D U U H V W D Q G F R Q Y L F W H E E m e r g e n c y N o t i f i c a t i o n s o r S a f e t y W R U

**Bulletin does not include names or other personally identifying information.**

)RU PRUH LQIRUPDWI\$RQ R Q D W K W \ & X H U D H H Q L Q V 1 B W G I ( F B W L R C  
V H H W K H X D O 6 H F X U L W \ D Q G ) L U H 6 D I H W \ 5 H S R U W

6WDWLWVWLFV UHJDHJ\$DQJPLMSRQV XFRMDDOG YLROWHQ\$SHUDUH  
LQFOXGHG LQ WKH D Q G X I D U H 6 H F X U L W \ W S B S R U V W L E U P H W 6 W  
6 W D W L V W L F V



7KH 8QLYHUVLW\ GRVHVKH QORDP IS XRE OFLUWLPKHH UY ILFGVHLQPVW L RLUD IR OWH  
LQIRUPDWLRQ UHJDVWIGHL QD LYOLF&MLPPVHD/QRQ XFDU LFQJ WPKH V W D W L V  
DUH GLVFORVHG LVQ KF WPKSHO-LHDDQCFQHZ& O H&LD P' S X F OGRHVFXUHL VRV  
3ROLF\ DQG &DPSXV &ULPH 6WDWLVLVWLFV \$FW

9,,, 1R &RQWDFW 'LUHFWLYHV

,Q WKH FRQWH[WRRQ 7LWWHI ,,, RHU XIDV Contract Directives FDUH D  
VXSSRUWLYH PHDVXUMX GKE WNDRURG H6V LD QVHXEDHQGL WHRFWDY  
FRQWDFW ZLWK DQRDV KSH FLQIGLQ LSHDOR/GRU R F&RLQMH V DUH  
DXWRPDWLFDOO\ LIVXLVGH LQ RDVRIW WHHUXD QQPZLVW RQG, XFW 1  
&RQWDFW 'LUHFWLYHV VZLQXV EIDL VQ G DQLPQU ERPWLV SBUWLH  
LQYROYHG DUH VXE M M W W L W R VRIQH VIBULRQ SH FVLLPHHG SR &RQ  
'LUHFWLYHV SURKEER P X Q Q EDIR URQ RHHVZ W W X GHHQ W JQ DGL UHF  
LQGLUHFV ZULWWKHOR XJCHDF WUKR Q OFSGLDW\ 6 KRUX O B FD DOW XGH  
WR FRPSO\ ZLWK DHFRW & RQ WDKW VLVX GFHQ WR P DL E FL S DE Q BU  
IRU YLRDOWLQJ WKH &RGH RI 6WXGHQW &RQG XFW

1R &RQWDFW 'LUHFWLYHV DQG DGLHIGUM W W QHUR P HFRXU DLQR SJ RU  
SURWHFWLYH RUGHSOD W R KH QURDODHGR QW XG MWQ'WVH \$WLRYH  
8QLYHUVLW\ DFWLRQD QHG LEA ERW\ UHFQDZWD VRD QWDDO DFWL  
VWXGHQW ZLVKHV SURSXLFWXLR Q RLVGNOW WKKIHU HPTXVW W D  
WKURXJK **SSURSULDWH FRXUW**

,; (PHUJHQF\ 5HPRYDO DQG \$SSHDO

7KH UHVSRRGHQW PDRPEW KUH B Q Y M G UIVL WSUR JHUXPVD RUL R Q D O  
DFWLYLWLHV RQ DQLHPKHJ \$QV\F E V D Q W V H G E VRH UPLXGHV W K D  
UHVSRRGHQW SRVHVUHQVL PPRIGLKHV S K W K L F D D I K W D Q W K D Q G  
VWXGHQW RU RWKHUHQWGHIPYLQDWDLOR Q7 RWR EVHKDIS'SHDDORIG  
6WXGHQWV

; IRUPDO & RESIDUAL QWLRQ

8SRQ UHFHLSW RI DWRDQD QJE R P SOD D H QX D R O D L W L F R Q P G S X C F 8 W H P 7 H L

, QYHVWLJDWLYH ~~GHWRIOXWIKRQIRQDQZLQYHRWGHDWLRD~~ E\ WK  
; ; , QYHVWLJDWRU ~~OWGGVLLQDZH~~ UHWXHQ UHSRUW FRQWD

x :KHWKHU WKHURWKHUR ERHSORDLVQW F DUG HWKHJ DUG LQJ  
UHVSRRQGHQW H JUUBI VWVWB WJHFIR DQWR O PLPLF RQGRXW WHU  
LQVWLWXWLRQV

x \$YDLODELOLW\ RWLRQKWB VQSFURDVL RQ H DOOHJHG YLRO

x :KHWKHU WKH FLUFXPW WDKHFUHV LWXDQH LQKHU HDHWSCR QG M Q  
FRPPLWWLQJ DQXDLWRERQDQO G XFW YLWQDQ LRIQE/HKDYLRD

x :KHWKHU WKH UHVSRRQGHQW WKD F RVPISODDQ DQW RU RWKH

x 6DIHW\ RI WKH FRPSODLQDQW DQG RWKHUV

% 1RWLFH RI \$OOHJDWLRQV

\$ UHVSRRQGHQW KDV VDKHLQDWHWRI MQRZG RRSRQDQDQDQ  
UHJDUGLQJ WKH QDQWURQVI LQKR UDQDQW WRV IGH IIRQDQDQDFRPS  
7KXV WKH 8QLYHUVLW\ ZLFO ERQKWLBRWVVDQGDADHLQDWLHQ WK  
FRQILGHQWLDOLWQDRQVW KHS RQ PUSHOFH IPSW DRIQDW IRWIRH Q QFIRYHU  
LVVXH D ZULWWHQLRQWLWR RMKSHO SHUVDKWH VQVRI VLQILJDLVLRQ  
QRWLILFDWLRQ RIZD OOHJDFMOXGH

x 1RWLFH RI WKH LQYDUMQJ SWRFQVDQ LQKROXPQJ DQ\  
UHVROXWLRQV ZKLFK PD\ EH DYDLODEOH

x \$FRS\ RI WKH IRUPDQYFRPSEQDILQWLUHQFLYHUVLW\  
H

x 7KH LGHQWLWLHVQYIRWKHGS DQWLKHQLQFLGHQW LINQRZ

x 7KH FRQGXFWDQOQJHGQXDFQPLWERQOMFLWLDQGFWKLHQ\$  
WKH &RGH RI 6WXGHQW &RQGXFWDQOHJHGQ\ YLRODWH

x 7KH GDWH DQG ODRQWLRQ IRQFWLGHQW LINQRZQ

x \$FRS\ RI WKH &RGH RI 6WXGHQW &RQGXFWDQ

x \$VWDWHPHQW WKDWSWKVXPHGSRQGLQWVSRQDQOQDQHI GRU  
FRQGXFWDQWLO DJDQWLRQUPQDQSRQQLHQHLDWKWKHHHQ  
FRQFOXVLRQ RI WKH KHDULQJ SURFHVV

x 7KH SRWHQWLDQ VDIQDFWLRQLVHQKLVK ERQCLRPSLQHPDQW  
GHWHUPLQDWRQ RI UHVSRRQVLELOLW\  
H

x \$VWDWHPHQW WKDVEHWDFFRW\$DQMGPSKURFXJKRQWQK  
DGYLVRU RI WKHLUEFKRELXW ZKQRD\ DQTDXWWRQQR EH

x \$VWDWHPHQW WQDQWVQDQHGFRHPSSRQGLQHQWQVBBFWHTQGV  
UHYLHZ HYLGHQFH

x \$ VWDWHPHQW LQIRUPKLDQ WKHLVS DUWLLHODQHLRQ\ R V WFKVHL  
RI WKH &RGH WR NQRHZLQJ DOW HPPDQHWID QPUL WQIPDZLQHQ O\ VXE  
LQIRUPDWLRQ GXULQJ WKH HDLQ YHWWXHQJHQ JWSKULRF&R/GHX

x 7KH GDWH WLPH DQIGQDWE D WLLRQY HLVHVKVH WRU\ LQWHUY

x \$ VWDWHPHQW LQIRUPKLDQHWKUHSEIU WRZHKVFKH DVHORSZRUIDU  
GHOD\ RI WKH LQYHVVMLXJDLQJ SRURLEWV HFW HFKML RQ PR I  
WLPH IUDPHV IRU JRRGXVFD XPDH L\*QRROGX ER WSKDUDVHVVHQ FDH  
SDUW\TV DGYLVRU RWLZH QVQ HODVZH V QIRVQFH RPH QWK HD QW HYG  
DFFRPPRGDWH ODQJX DJE FFRVLPV RGDQV LHR R FKBLVDELOLW  
WLPHOLQH PD\ DOVRV E H DILQMF MUR Q UE WISGL QDQEGU V DNP SHU  
RU ZLQWHU WHUPV

x \$ VWDWHPHQW WKDWRUIDQQL QKHHV FRLQDYHLLRQL WALGPELG H  
LQYHVWLJDWH DQHQID D WLRQR GRG XDF W RLVKOHUW &RGHR BIDQ  
6WXGHQW &RQGXFY YLRODWLRQ DERXW W KHU HF RPSWDLQ  
LQFOXGHG LQ WKHLRQWL FOH DRP L\$ QHRYD MGH VQRZALOFH SRU W  
DGGLWLRQDO DOOHJW ZKRQ M WGRH Q W E VSDQV DUH NQRZ

x 7KH LGHQVWLWQYHVVWLJDWRU

**& , QYHVWLJDWRU & RQIOFWV RI , QWHUHVW %L**

\$Q LQYHVWLJDWRUFRLQJ QFW KRQ YLQ VRIW HDVWLRQV VE LFRVP SRODLQ  
UHVSRQGHQWV JHQHUDOO\ RU DQ\ LQGLYLGLG XDSDFURVPSDBDQ  
WKDW WKH LQYHVVLFRWRIULQDWH DFRWV RPEDELQVWKKDW SD\ V  
UHFHLSW RI WKH OIRHVIDFWLRQ WKHL QH D LWHTFXHROV RZEW R IW  
&RPPXQLW\ 6WDQGDUGGLIDHUNHQW WQYDWVWQHQJH WRHUVHLDQJ IRU  
VXILFLHQW GHVWVQHWKH TXDHWLW 17KH LGHIFWLRQ VRILWDO V  
DSSHDO XQGHU 3 \$SSHDOV

**' 0DQGDWRU\ 'LVPLVVDO DV D 7LWOH ,; 6H[XDO**

,I DQ LQYHVWLJDWLRQWGWVWHI UDFLOHHJMRGV EFRQVW R W XGARH W HQ X  
PLVFRQGXFW XQGHU WKH (SXF DWSLRQV FKHQWV RHR QV5HLQ WKDW  
QRW RFFXU ZKLOH WDKH SDRUPVSIODLSDQVQV RSD DW MFLSSDWLHQ LQ  
HGXFDFWLRQDO SURRJDWRH R QDFYMLUYLEWVX URZL V K GQQR WHR  
JHRJUDSKLFDQ WHUHLG WRDWRV WKKHEH R V ODLQWH Q L O D Q G D V  
GLVPLVVDOV PD\ EH IDSSZDQK GQLQ FZDLQ VQPLWV B D VEK H L W K H  
SDUW\ WR WKH 'LUFHFRMR&R R P WQKHW216WDQGDUGV

&DVHV GLVPLVVHG DDV7D WOFHQ;G6HF[W PDQ SWLRFCHG; DM[XDO  
0LVFRQGXFW LI WKHX D W OHHJH V P R Q F R Q V W K H G H H L Q Q L W M H R 1 R Q  
,; 6H[XDO 0LVFRQGXFW GHILQLWLRQV

( 5HYLHZ RI (YLGHQFH

7KH SDUWLHV ZLOO WKHQ TXRQ QRSRUW YLGH QHH IRZV D LQH  
SDUW RI WKH LQWHUWORLDWLFHQW RJDLKHHGLOHQD IRU

x 6SHFLILF FLUFXPVW DVKFFH 8 QSLYHHYUHQ W \ LIGHRQ FJD WKIHIUFLQJG  
WR UHDFK D GHWHUHP LRQDFDLOR Q RDPVS OVRLOQWVRKHU IDIOLQH JDWL

3OHDVH QRWH WKDW DVFBIPSPDLQMG W DODLW FNG, G VHW O  
EH FRQVLGHUHG D VD VQRDOO B IIIJ R, G6 M LXROR QLG XFW LI UHDVRQD  
FDXVH LV IRXQG WKJUDVXLRKQD D QLGQ WHK VWFLE F SWO B LSODUWL FLSJW F  
VWXGHQW FRQGXFWSURFHVV

~~XIII~~ ;, 9 +HDULQJ 3URFHVV

\$ 1RWLFH RI +HDULQJ

, I DQ LQYHVWLJDKWHIDUQ QJH V X O DVUQW Q BDDVO B H JH W OYHL R, O 6 H  
0LVFRQGXFWRU 1 FQOZLVW B B G X F W [ XWHKHH LSYHU W LHRWZ E G OR  
+HDULQJ DW OEFXDLVQ HWHUQV B \ W B HKLRHORW Q B H 7Z L O O L Q F O

x

7KH FRQGXFWD OOHJHIGV O O H R, Q R W L H R D V L W O H ;  
0LVFRQGXFWDQG WKH VSHFLILF VHFWRXFW RI WKH  
DOOHJHGO\ YLRDWHG

\$ FRS\ RI WKH &RGH RI 6WXGHQW &RQGXFWS

\$ VWDWHPHQW WKDW W W S U H H V X P S I G Q C H W W H K V S R Q V L E  
DOOHJHG FRQGXFWWHXQ W Q D W L R Q U H J K D U G E G H W H V S R  
PDGH DW WKH FRQFOXVLRQ RI WKH VWXGHQW FRQG

7KH SRWHQWLDO VOLDPW L R Q Q W H U Z K I L W Q V P D R O B R Q H Q H  
DQ\ GHWHUFLQHW S I R Q Q I R E L O L W \

\$ VWDWHPHQW W K D V E H W B F F R W S B G Q H V G P W S W R F H J K R X V  
E\ DQ DGYLVRU R I K V K P D U E F K R E L X W Z V R Q E H W D G T X L U H C  
D W W R U Q H \

\$ VWDWHPHQW WKDW WKH SDUWLHHVZ PHDX LIGHTXFFHW

\$ VWDWHPHQW L O M I R H U P M C K J D W K I H W S I D X H D ' Y L R K B Q W L R Q  
VHFWRQ RI WKH & P O H N H V R D D Q R Z L Q D O M E P B Q W V R U N  
V X E P L W W L Q J I D O V H J L W Q K R H U P O Y H L R V Q L O M X V L R Q Q R U D Q \  
SURFHVV XQGHU WKLV &RGH

1DPHV RI WKH PHREDHUG/ ZK R V Z H O B U E P H S I R Q N U E I L O L W Y  
LQ WKH FDVH

\$ VWDWHPHQW L O M I R H U P I R Q J W K K H S S B V H I R W Z K K F K D O O  
W H P S R U D U \ G H O D \ I R Q Q X F W V S U R G H Q W H F R U H Q W K I R Q L P L







7KH %RDUG ZLOO GHV D Q F HQRI WKH VUHL FQWR VW NHJ DPG Q DMQ R  
SULRU WR WKEHI Q H VQVZQVHG

4XHVWLRQV DQG HYLGHSCFDL Q D R XW VSKDNR B UV D L XH Q REM KU HO  
XQOHVV VXFK TXHVWLBQM B Q G SUHQY G H R H D O H V R W K H U W K D Q V  
UHVSRRGHQW LV UHVSRRVLEOH RU WKH STXFLM WFL R Q V L G Q H W  
EHWZHHQ WKH SDUWLHG D R G S D B M R F R Q V H Q W

## . &UHGLELOLW\ RI :LWQHVVHV

7KH %RDUG LV UHVSRRVLEOH WKH G H S D L V L D V W D Q R I Z L K H Q H V  
&UHGLELOLW\ GHWWU F M Q D Q L F Q W Q R H V D H V Z E D O C H Q R R V Q B A K H  
SHUVRQ V V O V D W K X V 8 Z L W K U V L W \ R U D D W G V Z H O C H Q D B M Q G U T K Z H D %  
LQIHUHQFHV EDVHG W V R O R O \ Z L W Q H S D V W K D E O L Y H F K H D B P Q J R U  
UHIXVDO WR DQVZ Q D W F U R Q V R H I B W K H U T X H V W L R Q V

## / 3ULYLOHJH

3DUWLHV DUH QRW OJHTXQURP G W L R D C L Y S U \ V L K P L O P J L F S D Q Y L O H J  
UHFRUGV DV SDUW RI WKH VWXGHQW FRQGXFW SURFHVV

## 0 5HFRUG RI +HDULQJ

\$Q DXGLR UHFRUG Q Q Z R O V E H R I D U L E R R P F X Q L W \  
6WDQGDUGV 7KH UHFRUG GLYODL D I D E O H E V R Q W K H T X D U W L H V

## 1 :ULWWHQ 1RWLILFDWLRQ RI 2XWFRPH

\$W WKH FRQFOXVLRQ RI %RDUG GHV D Q F HQRI WKH VUHL FQWR VW NHJ DPG Q DMQ R  
SURYLGH ZULFW W H R Q Q R W W K H R X W F R O E B D W K E H K H D U L Q J W R  
V L P X O W D Q H R X V Q R W K H L Z L D O W B Q F O X G H

- x 7KH VSHFLILF DOOHJDWLRQ WKDW FRQVWLWXWHV VH[XI
- x 'HVFULSWLRQ RI SURFHGXORZHV K D W D R U P L O Q Z R P S O W K E  
DQG FRQWLQK L G H W W K U P R X D W L R Q
- x 7KH ILQGLQJ RI IDFWV WKDW VXSSRUW WKH RXWFRPH
- x \$ FRQFOXVLRQ DSSOLDW J G K H L Q S S U L R S U R W M U K P L Q R G L W E R H  
KDYH EHHQ YLRODWHG
- x \$ UDWLRQDOH IRU H D E G L Q Q O W K D V G L R W H V S P R Q D V E L L O Q V R I  
V D Q F W L R Q V R I W K H U H V S R Q G H Q W D Q Q G H P H G L H V I R U V
- x \$SSHDO SURFHGXUHV

2 6DQFWLRQV 5HPHGLHV

6DQFWLRQV LQF QGGGLWXPVSMQDLRQQDWDKUH\ VGHYSHUQLQLQRIF  
YLRODWLRQ DQG RQKXEWVLSRQVGRUW\ RYFULGJHXDQDEWER  
YLRODWLRQV LQFOXSHQGLRQLVHPDQRYDQLQRPIGXDFPSWLRQ  
LQWHUYHQWLRQ QGRFRQADDFWUIRPSSDULVQIDFLQLQWHUFRC  
DWKOHWLEF XROUDERDFXLLYLWLHV ZLKHQQRVQLVFDLRIVDKHOISJR  
VDQFWLRQV WKDWWPHQHJHVLSPSRGHQMEOVHIBKQBGULHWSZLQ  
SURYLGHG WRWIDSFURPSOLDLQDQWRHDNHTVADROUDFRHVSUWRH  
XQLYHUVLW\ \T VRHIGXFDVRURQDQDOLSUWLHV

3 \$SSHDOV

\$OO SDUWLHV KDYLW\WKRI BSSRDQW WKH BXMQFRVHR RYKMKR  
3UHVLGHQW RI 6WXGHDQWPLVM \$IOV XDSQW VHV KILQ ZUYH  
EXVLQHVVGDI\ RI WKH BXWFRPH RI WIDKIPDULVQPHB\$S  
OHDVW RQH RI WKH IROORZLQJ JURXQGV

D \$FRQIOLFW RI HQW\WUHRQ WKHHSIDLWDRVWKH%ROV  
PHPEHU V RU 7LWOH ; FRRUGLQDWRU

E 1HZ LQIRUPDWL DQ IRWWUMHDKDQD BOKHDWDEB DVEKHH  
GHWHUPLQDWLRQ ZCHWPHDQPHQHGDWRL E XJKXWRWDQWL  
KDYH FKDQJHG WKH RXWFRPH RI WKH KHDULQJ

F \$SURFHGXUDOWKHWKJHODLQLWRILVCKWFDWLVRFRXQ  
WR EH VXEVDQWLDYD FIKRQJHGWKHX RXLQRPH RI W  
LQFOXGLQJ IDLOXUH DVOR DVMHFOVL YHROHYDQRW HYL  
UHODWHG WR GHWHUPLQDWLRQ RI UHOHYDQFH RU

G ,QDSSURSULDWH VDQFWLRQ

\$OO SDUWLHV ZIKHQ DQ DRS HILQK DZLEOH E HLSUHFYDQGG ZLW  
FRS\ RI WKH DSSHDO DQG JLYHQ DQ RVSRDVSQIDW\ EWRQJHV  
VXEPLWWHG WRGWHQW9ILFUH 6WXIGHQW /DQG DQD DSSSDQVHVZ  
EH VXEPLWWHG WRGWHQW9ILFUH F3UHV LGHUDWLRQ

7KH RXWFRPH RI WKH DSSHLQOZZILOV\ EHLWRDQDQSB B WQHDQ  
FRQWDLQ WKH UHVXORUVVWQHG DSSWDRQQDQDFLVLRQ

\$GHFLVLRQ EFRPHV SDQDQHZKBDQDORIQWKWKDHSBNDORRH ZI  
QR DSSHDQ\ LWKHOGHGDGOLQH

4 7LPHOLQH

7KH 8QLYHUVLW\ LD FXPDLQGHG WRSVVRXEMQWRDQGYMWXGH  
RUJDQLJDWLRQ 7KH 8QLYHUVLW\ \T KHODUWQRQDSQR EHHWRHV JH

WDNH XS WR E & WISCHQVGL G D X S R Q W K H F R P S W H J L W H R F R W G  
SURPSW DQG WKRU R X J K W L S Y H D O V L J Z W W R D Q V R E X V H F O H L S W R I  
UHSRUW KHDULQ J M H Z V W G L Q V D Y C E K O D D E O D V D S S H W \ S L F D O O \  
UHVROYHG ZLWKLQ 2 B X R E I Q H W L V R G D W K H H S W R P F B R V D P U L O E G H O  
IRU J R R G F D X V H L Q F O X B L S D U W K I H H D E V R I S D F R W \ T V W D G H Y L V H V  
FRQFXUUHQW H O P D I Z W Q S E W ection: Legal Options RU WKH Q H H G WR  
D F F R P P R G D W H O D Q J X D J B F F R P I P R V G D O V L R Q R K B L W D E H L O L Q H L P I  
DOVR EH D I I H F W H G E S U L I Q H J Z I L Q H D N U S R U I L R B V Z I Q G W H X P W H U P V

XIV;9 \$GDSWDEOH 5HVROXLRQ  
\$ 2YHUYLHZ

7KH 8QLYHUVLW\ UWFRW Q P S R U W B D W R X Q R W W D K I H Q E W C R V D R E F 6 W  
VRPH RI ZKRP PD\ S U L H I H U H O R W L Q Y R H X W R L Q D S U L R Y H H V V H V \$ O D S W D  
5HVROXLRQ \$5\ L U H D H Y G R O X Q E V D D U H G R F V I W V X E F H W X Z H H G Q S R U  
D P R Q J D I I H F W H G S D U W L V X S S R K D W W E Q G D D F W R X Q W D R U O D W \  
GLVFLSOLQDU\ D F H M L S R Q Q G H D L V Q V Z K D O S H H I S F U X H L V Q H J G R Q H M I G H R I  
K D U P H G S D U W \ L H V O \ \$ 5 H I V L J Q H Q G U W R O D Q O R V R D B F H V S R Z O H H G J H  
K D U P D Q G W D N H V W H S W R W R K H H S J D L H U Q N D S L R I Q V L E G O E \ W I S H  
& R P S O D L Q D Q W D Q E U R V L W K & R P P X Q L W \

% 5HTXLUHG (OHPHQWV IRU 3DUWLFLSDWLRQ

7KH \$5 SURFHVV Z I L O W H R Q O W E W K H Q L & V R P S W D D L I Q V D H Q W W K H U M X E P L  
R I D ) R U P D O & R P S O D L Q W 7 K H U H T X H I V W K L H V T L X A E O M H F ; W & W R U V G  
R U G H V L J Q H H V O D G U H H T P X L Q W W R S D U W L & F L S S O V D H L Q D R P V E D R Q G K V  
5 H V S R Q G H Q W , Q L M I Q D V O H D S V S K I R Y S O S U W R F H U P V Q Z H L G O V E H R G H J K  
G H Y H O R S H G F U L W H K H L D L U H O Y L H Z B B R E U G W H Q D V S R & R R S O G H L Q D Q W  
U H T X H V W W K H \$ 5 S U H R I S H V L R U D W W R D Q \ W F K P H G X D Q J G T R K P P O O L Y H U  
Z L O O S U R Y L G H W K E Q B W W E H V G D V Z F O R W A L Q V W K K H D J O H T X J L D H P H  
W K H D G D S W D E O H U H D R G X D V L R Q R S U V R I T X J H V L F R P V S D H U W D F W L S O W L  
W K H S U R F H V V L Q E G X G W Q D W W I Z H C U H E R F R P X Q G V E B I L Q K H G U W B D W K H  
Z U L W W H Q Q R W L F S I D Z I V O L O H V Q V R D F W M K M R K W H U F F L Q Q D W F H X W K W W S U  
D Q \ W L P H E H I R U H D Q D D F J U H H G H D Q G W S X V U X H L Y H Q U L Q V R I O X W W L B Q

, Q R U G H U I R U W K H E \$ 5 D S U B S B V R S W R D W R H Q U H E V R R O X X S / D B W L R S W P  
K D Y H D Q X Q G H U V W D Q B Q Q W K R H Q E S H Y J U D H U N K H O B B R O M M W R P % R W  
W K H & R P S O D L Q D Q W D X Q G V 5 B I J / S H R Q Q V R Q W K P W R O S O D R U Z W Q F L W B I W P I  
\$ 5 S U R F H V V

x 3DUWLFLSDWLRQ L Q Q S H V W Y R H O X Q W K B S B R B B Q G H Q D V Q F V D R U  
F K R R V H W R H Q G W K H V S P I R F S U L V R D W W B Q V E H Q M Q J W K H D J U H

x %RWK WKH &RPSODLQGHQW DPXG VHSVSRVXIDFQ SBRVHHUHQHQHQ  
PHHWLQJV ZLWK DISB RSHUDLQW HP R/WHD D\$BRXWL\$ S\$SDUWRQJW

x \$5 FDQ EH XVHG RQRXUWH RIQDWIRWJ PDO &RPSODLQW

x 7KH &RPSODLQDQW DDQGHSHWSRQBHQW ZHQWDOO UHTX  
GRFXPHQWHG HQWKVRD\$WLWQ DJUHHPHQW

x %RWK SDUWLHV PXW\$ UHFRXDWHH S\$H (5PLWWLQJ WKH LQV



8QLYHUVLW\ SURJUDPLMVRUHHWPMQWFOXGODLGHWDLOHG  
XQGHUVWDQGHQJXSROJERQVHTXHQFHV ZKHQKRESJLWHDWPLRQW  
DUHQRW IXOILOHG

) 'RFXPHQWDWLRQRI\$GDSWDEOH5HVROXWLRQ  
7KH IROORZLQJ PLQLXPPEUHFWRUDWHG DQGHPSDQWHDULQHWG EHV  
WRWKH\$5 SURFHVV

x :ULWWHQQRWQJFWGHVFORHJDWLRQV

x 'RFXPHQWDWLSRODRQDQWTV UHTXHVWIRU\$5

x 8QLYHUVLW\TVGHVSSSRSDWLRQHVIRU\$5

x 3DUWLFLSDWLRQ\$JQHAPHQERWKSDUWLHV

x 5HVROXWLRQ\$JUHHPHQWVLJQHGE\ERWKSDUWLHV

7RIDLUO\ DVVHVVSDFWFKUDYIRUUVWVSHFDWDRU,Z&G PDLQW  
UHFRUGVRI DOGHHSRUDGD SMDHEOWS HULVRGXRWLVHQHURU D\HD  
DIWHUWKHGDWHKHFDQLYHFORLVXUHKW HVRYHNVHVKH HULRUGV I  
ORQJHUSHULRGRIWLPVHDUI GSHFRUGGQVHDG EHVXHE\$RBIQDHG  
RUGHUHG DVSDUWRISQRHFWWVUQDGKODDHFWLRQDQDRQRU  
FRPSODLQW RU DVHFWKHODZVDHQGHRXL8QLYHUVLW\SR OHG

x ,GHQWLI\LQJ DQG UHFRPHHQGLDQ\VDIGRLWURFHDO\XUHRQ.  
VXSSRUWV DQG UHPHGLHV RU

x





x 2Q JRLQJ ZHO QVHLVQ SSURP DUGDPU/HW K DLW V XH V ELXVF CQXGW  
OLPLWHG WR VH[X DO VKH DKCHDIO V Q G VZHRDDMLRHQ \$KIHSYH Q W  
DQG GUXJ D QGH C X FB WR R Q

x &RPSUHKH QVLYH R Q FDLPSX X QZLYGHU F D D S8VL J QK L, W T V 2 Q  
FDPSDLJQ LV FRPPLWVQH G QYR UFRUQH VQW HMKSDVQ \$LIERLFORLWVH  
GLJQLW\ DQG UHVS B FWH FRKQ R QHL QJ W V KDRV SWIKIY HSRVH  
YLROHQFH FDQ VHDH\$ XSV WRUKB QS K G M PVRQH V B DOMHW O RV  
DQG KRQRU E\ VXSSR URVL QXK D Q G OFDRUZ QUDPLDQV

OLDPL 8QLYHUVLV\ LQHFRPLQHJ V DVOXG B QWR/Q V RQFR F S X F D VML R Q  
WKURXJK WKH HGXF DSDQR Q YMHJFK Q \$DQR J R WFRIG HLQWR/ FDQ DF  
SUHYHQWLRQ DQG DZHDQ HLQ MKKH S D BWHQR D NUHHTW LUK G SURJU  
SRSXODWLRQ OHY B V H D \$SQR D VIK Q VRSUWQXFD\ S K H YLQV H R Q D C  
DZDUHQHV V DVVR FLDV D X G V L VCKG VLHQVHO SHUNLRQDIOQ WLR O F F R  
XQLTXH SHUV S HFWLLYHQ/F B Q GSURYLG LQJ

x .H\ GHIL QD QG R QMD WL V WLFV

x 5HIOHFWLYH DQG SHUVRQDOLJHG FRQWHQW

x %\VWDQGHU VNLOOV DQG FRQILGHQFH EXLOG LQJ VWUD

x &DPSXV VSHFLILF SROLFLHV SURFHGXUHV DQG UHVRXU

x 5LFK GDWD VXPPURJLXW XWRH LSQIRJUDPPLQJ

7KH OLDPL 8QLYHUVLV\ 3ROLFH IRVGRU QJ WDKH FDPSXZLIQQY

x 3URYLG LQJ VDIH VSDVQR Q M FXU SDU WWRWU B RXQB H B QLYR  
FDPSXV VHFUXLW\ UHVS RQVLELOLWLHV

x ,QFOXGLQJ 7LWOHUP;D3ULRVQR R Q L W Q I B W D V LWRH WDIQRV G LZKH  
HOHFW WR ILOH D SROLFH UHSRUW

x \$FFXUDWHO\ PDLQWDLQLQJ DQG VWHSEW BR Q V WOVHU, H TYLLR  
WKH -HDQQH & X V H & B LW\ \$FW

x :RUNLQJ ZLWK )DFLEQWLVWR SDURYLGH DRGH F D P S XIVO LJKW

x :RUNLQJ ZLWK 7HOHF RRP \$ Q RFD V H R V X I I L S K R Q M V H P Q U J H C  
FDPSXV

2WKHU LQIRUPDWLRQ VRXUFHV LQFOXGLQJ WKH IROORZLQJ

x \$QQXDO 6HFXULW\ DQG )LUH 6DIHW\ 5HSRUW

x 6H[XDO \$VVDXOW 6H[XDO WOHUVSRQVGRQ B B [ X D R O H Q F H D Q  
+DUDVVP HQW

x ,I <RX RU 6RPHRQW DRXLFORLZPL6XUYLYRU

x 5HVRXUFH \*XLGH

- x 1R +DWH ,QLWLDWLYH
- x 1R +D]LQJ 3ROLF\
- x \$OFRKRO 'UXJW DQGH6\$EXVH 3ROLFLHV
- x 2IILFH RI 6WXGHQW :HOOQHVV

' **Medical Treatment and Preservation of Evidence**

\$ SHUVRQ ZKR KDV H[SHUPLWV FRIGGXHF W LVXSWSRHSQ LDRV WHPHNG  
 HYDOXDWLRQ LPPHZLLWMLCO\ KBNDOIOWKHODQGDGVHQRW )RU  
 WКУHDWHQLQJ FRQGLWLRQ DFDDPSXVJ BKVRQ HWKHUQH DUHVW  
 KRVSLWDO HPHWPHQFW G,OSDURUG OF&XOLDFOXJR VSGWDOH KFDU  
 WUDLQHG 6H[XDO \$VLDXOW 6\$XUVZKRFDFD QKHDO &RVS VWDOV  
 D 6H[XDO \$VVDXOW QHUKU\$H ([DPKDW ZLOO UHVSRQG

\$Q\ VWXGHQW ZKR KDV H[SHUPLWV FRIGGXHF W BVUHQKRXWDJHG  
 FROOHFWLRQ RI PHGEFDGURHPSDVO FRYLGBDWHLYRQG RQSK\VV HVV  
 VKRXOG WKH VWXGHQXU OXHW HULPH QDGHSWRUV BFXLWLRQDFWL  
 &ROOHFWLRQ RI HYLYGHQW HPDDFLWYRQ SZR WKF BRUHLFRUDVQ GE XV  
 GHFLVLRQ WR VSHBNDERWW WKKH SDOLFKIG YWKG BQWR/Q L

,I WKH VH[XDO DVZIDW QWQ R FFKRUKWVG IUIXID OGD \VR QDLGHQWL  
 FDQ EH DGPLQLVWHUQH &RWS LPRVVOVOR KKH OVRVQHX QWK HLW HHS  
 WKH PRUH OLNHOAWLYLQGH QFSHUZHVOHW 'LQFOXGDQH 'UGKXSQR  
 \*+% PD\ VWLOO EKH SUWXGHQW DQGWVWV WDHV VEHG IRU LI WKH  
 YLFWLP VXUYLYR BDEHIDLHY HEV HOK ISU XJHMBUYR KHIOGSHUFH W  
 PD\ DVVLVW LQ SWRGLYLQ WLVWLRQORQH QW B SURVQHFVWLBQ RU  
 SODFH DQ\ VRLOHSDS B B W & RW ISO DVWWK HEIRJOD QZLQYRLG

- x %DWKLQJ RU GRXFKLQJ
- x :DVKLQJ KDQGV RU IDFH
- x 8ULQDWLQJ
- x 'ULQNLQJ DQ\ OLTXLGV
- x 6PRNLQJ HDWLQJRW KEULQVQKQGLVQJ PRXWQZDVK DQG IO

,I D VWXGHQW LV XQFMKHM DRO DERRX WUZW\ ZKQW KDR BBSXUU  
 WKH\ FDQ VWLOO KHDFWHIGYL, GHFDVHFRQOW HRUX DCH DHVWHD LQMXU  
 SROLFH ZLOO EH SDVODG MKRI WVK KIRVW KFDUQRVSHQW VZLWK  
 WKH SROLFH DWFW BDD\ WLFHRWR ZKDW KDV KDSSHQHG

:KLOH HYLGHQFH PDDERQFRBOWHGLGPHZDWWRDXWHGQDR LW  
DQG RU ZKHQ WKHUDHGHVWQR SRHSLFUHW WRDQGHGFGVGLMIBUHQWO  
GLVFXVVLQR DERXWFWKIQJPHVLGHRQIFRDOORQUPZKMQ\WKH  
VWXGHQW GRHV QRWRZDQWEWRGLVFSRUUMSHLWRQRQHGLDFQG R  
DGYRFDWH

4XHVWLRQV DERXW ROLGHOEHGROGHFWBSLQR :RPHQDW  
RU KIRUHWXDO \$VVDXOW 6\$UVH ([DPLQHU

6\$1( RI %XWOHU &RXHQVSRUHLGHVHFDPLQDMQWQRDQESRWVH  
VH[XDO DVVDXOW FVHV 6\$1( RI %

F 7KH RWKHU SHUWIRQLWWD E UOFRQWV RQ \ LLP SDEVMGE WFDX \  
PHQWDO RU SK\VLFDH F R Q G IRW DRQY BQ F HG DJH DQG WK

W K H R W K H U S H U V R Q V L D S H P U M P R E C H U Z L R U K F A D H V L S R Q U D D U G R V F B S O L



2KLR 5HYLVHG &RGHWLF 9LROHGFH &ULPLQDO

'RPHVWLF YLROHQFIHW RHDROORZLQJ WR \$DWHPSPW EQXVLQJ  
ERGLQ\ LQMXU\ WRH RIRIOP LPHPREU KRRLS OBLQRXDV HROG PH  
E\ WКУHDW RI IRUEHLQW HSKUVRFDOP\$WUQJ WR\$WDXVPH RU FD  
ERGLQ\ LQMXBQ VZRWK SZKRP LWKRIUDZDWR LQ D HODD WLRQVKLS R  
D SHUVRQ ZLWK ZKRP ZDKHLDFDWDWLRQ W K O B D W R F Q I K L S F H L  
RI LPPLQHGW SK\VLSDHQ KROQ PK\$OOR NQR ZLVQWOP \$DXWR RDXV  
SK\VLFDQ KDUPRWKRXDPRKROG PHPEHU

% 1R SHUVRQ VKEDXOV HMFNULRHXWOSK\VDLFDQ\ KDUURVXKHKROG  
PHPEHU

& 1R SHUVRQ E\HWKUKHDDO RNIQIRZLFQ JOO\ RFDXVXVH KRFQLG PHP  
WR EHOLHYH WKDWDKXVH RIRIOPGHQWZ SCROWLKHODKROOR RU KRX  
PHPEHU

'DWLQJ 9LROHGFH 3URWHFWLRQ 2UGHUV

'DWLQJ 9LROHGFH LGHILQDQWGRG RQ QPHVWLVW KHRSDXUSRVH  
REWDLQLQJ SWRMMHFVDRQLV GHILQHG LQ 2KLS 5DHYURDGRZV

x 3'RPHVWLF 9LROHGFH RPHWQW IROORZLQJ

o 7KH RFFXUUHQFH RIRIOPKHRIOPRZHLQJDEQW DJDLQV  
PHPEHU RU KRXVHKROG PHPEHU

x \$WWHPSWLQJ WR VDOVFDXV LQHFIRGLQ\ LQMXU\

x 3ODFLQJ DQRWKHSDSHBRVRRUEHVLQIHHULRXVLBKLQHFQDQV  
KDUP RU FRPPLWWLIQVHFWLRQDWLRQ RUWKH 5HYLVHG  
&RGH

x &RPPLWWLQJ DQ\ DRWDZELKLO GHWSDWVWZRXOFK LQGV EHWQJQ  
DEXVHG FKLOG DV GHILQHGR LQKHHFWMRQHG &RG

x &RPPLWWLQJ DVH[XDOO\ RULHQWHG RIIHQVH

x 7KH RFFXUUHQFH RI RQH DEWPRUBHQWLSLHG DQLGLVRLV  
RI WKLV VHFWRQ DJSLRQVGHQWRIPVWRKHZUDHOLDQW RQDWKILS

3'DWLQJ UHODWLRQVODVSLRQMKQV HDWZHEQWLQRQLWKLGS EOV  
KDYH RU KDYH KDG IDDUH R D Q R Q V K L S L Q W V L Q W H Q D W X I U R Q V  
GRHV QRW LQFOXGHWDDEBHXKOSDFU XRDQLRQJ L Q U D V E X V Q Q H V  
VRFLDO FRQWH[W







- x 2KLR 5HYLVHG &RGH\$EGXFWLRQ
- x 2KLR 5HYLVHG &R±G8HQODZIXO 5HVWUDLQW
- x 2KLR 5HYLVHG &R±GHLVRUGHUO\ &RQGXFV
- x 2KLR 5HYLVHG &R±G\$HJJUDYDWHG 0HQDFLQJ
- x 2KLR 5HYLVHG &RGH ± 0HQDFLQJ
- x 2KLR 5HYLVHG &RGH0HFRPP±XQHFDFWLRQV +DUDVVPHQW

*Translation*

\*           

x           

5HODWHG )RUP V

)RUPDO &RPSODLQW

\$GGLWLRQDO 5HVRXUFHV DQG 3URFH

:HEVLWHV

- x \*HW +HOS 1RZ
- x 7LWOH ,; 7UDLQLQJ 0DWHULDOV
- x 5HVRXUFH \*XLGH
- x 3URPLVHV WR 9LFWLPV RI &ULPH
- x ,QIRUPDWLRQ 6KDULQJ \$JUHHPHQW
- x \$QQXDO 6HFXULW\ DQG )LUH 6DIHW\ 5HSRUW
- x &ULPH 6WDWLWVLFV
- x 6 &LWLJHQVKLS DQG ,PPLJUDWLRQ 6HUYLEFHV

x %XWOHU &RXQW\ 2UGHUV  
x )LQDQFLDO \$LG  
x ([SHFWDWLRQV IRU &RPSODLQDQWV DQG \$FFXVHG 3HUV  
x &RGH RI 6WXGHQW &RQGXFV  
x +RZ WR )LOH D 'LVFULPLQDWLRQ &RPSODLQW  
x 'LYLVLRQ RI 6WXGHQW /LIH  
x OLDPL 8QLYHUVLVW\ 3ROLFH

---

)\$4

1RW \$SSOLFDEOH

---

3ROLF\ \$GPLQLVWUDWLRQ

1H[W 5HYLHZ 'DWH

5HVSQRVLEOH 2IILFHU

x 'LUHFWRU RI WKH ~~DIQGFHTXSDU, W&QRWGLQDWQH~~  
x 'HSXW\ 7LWOH ,; &~~SRVLQDQWR~~ UHQ RI 6WXGHQWV

/HJDO \$XWKRULW\

x 8QLWHG 6WDWHV %LOO RI 5LJKWV  
x 5HFRUGV \$FW  
x 2KLR 5HYLVHG &RGH  
x 7KH )DPLO\ (GXFDW~~DRQD~~ ~~3U~~BY~~DFW~~ \$FW )(53\$  
x &OHU\ \$FW  
x /HJDO \$LG 6RFLHW\ RI 6RXWKZHVW 2KLR

&RPSOLDQFH 3ROLF\

<HV

5HYLVLRQ +LVWRU\

\$PHQG HG -XO\ \$PHQG\$PHQXHG 1RYHPEHQGHG \$PO\  
(GLWHG 6HSWH\$PHQGHG \$XJXVW

5HIHUUHQFH ,'

6WXGHQW +DQGERRN

5HYLHZLQJ %RGLHV

\$GPLQLVWUDWLYH

< 0LDPL 8QLYHUVLW\ \$OO ULJKWV UHVHUYHG

x (TXDO 2SSRUWXQLW\

x 3ROLF\ /LEUDU\

x &RQVXPHU ,QIRUPDWLRQ

x /DQG \$FNQRZOHGJHPHQW

x 3ULYDF\ 6WDWHPHQW

x 7LWOH ,; 6WDWHPHQW

x 5HSRUW DQ \$FFHVLELWLW\ ,VVXH

x \$QQXDO 6HFXULW\ DQG )LUH 6DIHW\ 5HSRUW

x 5HSRUW D :HEVLWH 3UREOHP

x -REV DQG &DUHHUV DW 0